

2872

Attorney's Docket No.: 042390P11429

Patent

In re the Application of: Ansheng Liu et al. (inventor(s))

Application No.: 09/881,218

Filed: June 13, 2001

For: METHOD AND APPARATUS FOR TUNING A BRAGG GRATING IN A SEMICONDUCTOR SUBSTRATE (title)

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
X No additional fee is required.

The fee has been calculated as shown below:

Table with fee calculations. Columns include Claims Remaining After Amd., Highest No. Previously Paid For, Present Extra, SMALL ENTITY (Rate, Additional Fee), and OTHER THAN A SMALL ENTITY (Rate, Additional Fee). Rows show Total Claims, Indep. Claims, and First Presentation of Multiple Dependent Claim(s).

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

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on January 2, 2003
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Luci M. Arevalo
Name of Person Mailing Correspondence
Signature Date 01-02-03

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_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

_____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$ _____.

A duplicate copy of this sheet is enclosed.

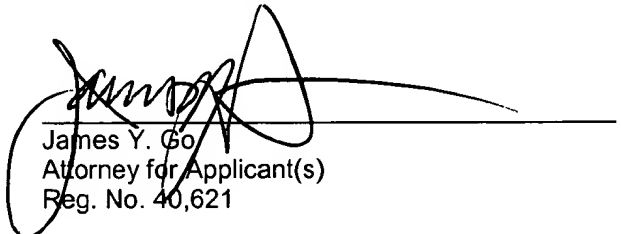
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No. 02-2666 (a duplicate copy of this sheet is enclosed):

X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

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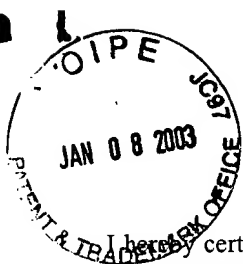
BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 1-2-03



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Luci M. Arevalo

Name

Luci M. Arevalo

Signature

01-02-03

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ansheng Liu et al.

Serial No.: 09/881,218

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For: METHOD AND APPARATUS
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Docket No.: 042390P11429

Examiner: Joshua L. Pritchett

Art Unit: 2872

#5/A
T. Y. Wang
1-9-03

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Assistant Commissioner for Patents
Washington, DC 20231

ELECTION/AMENDMENT IN RESPONSE TO RESTRICTION REQUIREMENT

Sir:

This election/amendment is submitted in response to the Restriction Requirement mailed December 17, 2002, in connection with the above-noted patent application.

Election

Applicant hereby elects Group I, comprising claims 1-9, without traverse.

Amendment

Please cancel claims 10-29 without waiver or prejudice.

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Charge Deposit Account

Please charge our Deposit Account No. 02-2666 for any additional fee(s) that may be due in this matter, and please credit the same deposit account for any overpayment.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 1-2-03


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Enclosures: Transmittal Letter
Postcard